

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/681,676
		Filing Date	10/08/2003
		First Named Inventor	IVKOVICH, JR. et al.
		Art Unit	n/a
		Examiner Name	n/a
Total Number of Pages in This Submission	45	Attorney Docket Number	125054/11901 (21635-0110)

## ENCLOSURES (check all that apply)

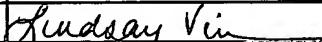
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>  <b>Return Acknowledgment Postcard, Certificate of Mailing, Transmittal of Substitute Specification (37 CFR 1.125), Statement that Substitute Specification Contains No New Matter (37 CFR 1.125), Clean Version of Substitute Specification, Copy of Marked-up Specification</b>
<div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">Remarks</div> <div style="border: 1px solid black; height: 40px; margin-bottom: 5px;"></div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	McNees Wallace & Nurick LLC Carmen Santa Maria
Signature	
Date	November 6, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Lindsay Vican		
Signature		Date	November 6, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: IVKOVICH, JR. et al. :

Serial No.: 10/681,676 : Group Art Unit: Unknown

Filed: October 8, 2003 : Examiner: Unknown

For: METHOD OF APPLYING AN OPTICAL COATING TO AN ARTICLE

**TRANSMITTAL OF SUBSTITUTE SPECIFICATION (37 C.F.R. § 1.125)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Enclosed is a substitute specification for the originally filed specification in this application.

Also enclosed is a marked-up copy of the substitute specification showing the matter being deleted from the specification.

Accompanying this transmittal is a statement, as required by 37 C.F.R. § 1.125(b)(1), that the substitute specification transmitted herewith contains no new matter.

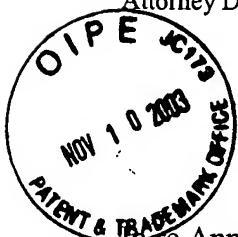
Respectfully submitted,  
**McNEES, WALLACE & NURICK LLC**

By

*Carmen Santa Maria*

Carmen Santa Maria  
Reg. No. 33,453  
100 Pine Street, P.O. Box 1166  
Harrisburg, PA 17108-1166  
Tel: (717) 237-5226  
Fax: (717) 237-5300

Dated: November 6, 2003



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: IVKOVICH, JR. et al. :

Serial No.: 10/681,676 : Group Art Unit: Unknown

Filed: October 8, 2003 : Examiner: Unknown

For: METHOD OF APPLYING AN OPTICAL COATING TO AN ARTICLE

**STATEMENT THAT SUBSTITUTE SPECIFICATION CONTAINS  
NO NEW MATTER (37 C.F.R. § 1.125)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. Identification of person making this statement:

Carmen Santa Maria, Esq.  
McNees Wallace & Nurick LLC  
100 Pine Street, P.O. Box 1166  
Harrisburg, PA 17108-1166

The person making this statement is:

the inventor in this application.  
 the attorney in this application, Registration Number 33,453.  
 other

2. Statement

I hereby state that the accompanying substitute specification contains no new matter over that contained in the above-identified application originally filed.

[x] I further state that the changes made are the same as indicated in the interlineated substitute specification also accompanying this statement.

Respectfully submitted,  
**MCNEES, WALLACE & NURICK LLC**

By   
Carmen Santa Maria  
Reg. No. 33,453  
100 Pine Street, P.O. Box 1166  
Harrisburg, PA 17108-1166  
Tel: (717) 237-5226  
Fax: (717) 237-5300

Dated: November 6, 2003

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on November 6, 2003.

Date

Lindsay Vican

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Return Acknowledgment Postcard

Transmittal Form

Transmittal of Substitute Specification (37 C.F.R. 1.125)

Statement that Substitute Specification Contains No New Matter (37 C.F.R. 1.125)

Substitute Specification (20 pages)

Copy of Marked-up Substitute Specification (20 pages)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*